

NEW, CONTINUATION, DIVISIONAL OR
CONTINUATION-IN-PART APPLICATION
UNDER 37 C.F.R. §1.53(b)

Attorney Docket No. 3174-000005

Express Mail Label No. EL 684 105 145 US

Date January 16, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Hon. Commissioner of Patents and Trademarks
Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a patent application for SLOT AREA UNDERCUT FOR SEGMENTED STATORS

identified by: ☐ First named inventor _____
or ☒ Attorney Docket No. (see above)

1. Type of Application

☒ This application is a new (non-continuing) application.

☐ This application is a ☐ continuation / ☐ divisional / ☐ continuation-in-part of prior application No. _____. Amend the specification by inserting before the first line the sentence:

--This is a [continuation/division/continuation-in-part] of United States patent application No. _____, filed _____--

☐ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

If for some reason applicant has not requested a sufficient extension of time in the parent application, and/or has not paid a sufficient fee for any necessary response in the parent application and/or for the extension of time necessary to prevent the abandonment of the parent application prior to the filing of this application, please consider this as a Request for an Extension for the required time period and/or authorization to charge our Deposit Account No. 08-0750 for any fee that may be due. THIS FORM IS BEING FILED IN TRIPLICATE: one copy for this application; one copy for use in connection with the Deposit Account (if applicable); and one copy for the above-mentioned parent application (if any extension of time is necessary).

2. Contents of Application

a. Specification of 21 pages;

- ☐ A microfiche computer program (Appendix);
☐ A nucleotide and/or amino acid sequence submission;

☐ Because the enclosed application is in a non-English language, a verified English translation ☐ is enclosed ☐ will be filed.

☐ Cancel original claims _____ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing date purposes.)

b. ☒ Drawings on 3 sheets;



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- c. ☒ A signed Oath/Declaration ☒ is enclosed / ☐ will be filed in accordance with 37 C.F.R. §1.53(f).

The enclosed Oath/Declaration is ☒ newly executed / ☐ a copy from a prior application under 37 C.F.R. §1.63(d) / ☐ accompanied by a statement requesting the deletion of person(s) not inventors in the continuing application.

d. **Fees**

FILING FEE	Number	Number	Basic Fee
CALCULATION	Filed	Extra	Rate
Total Claims	25 - 20 =	5	\$18.00 = \$90.00
Independent Claims	4 - 3 =	1	\$80.00 = \$80.00
Multiple Dependent Claim(s) Used			\$270.00 = \$0.00
FILING FEE - NON-SMALL ENTITY			\$880.00
FILING FEE - SMALL ENTITY: Reduction by 1/2			
[] Verified Statement under 37 C.F.R. §1.27 is enclosed.			
[] Verified Statement filed in prior application.			
Assignment Recordal Fee (\$40.00)			\$40.00
37 C.F.R. §1.17(k) Fee (non-English application)			
TOTAL			\$920.00

- ☒ A check is enclosed to cover the calculated fees. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 08-0750. A duplicate copy of this document is enclosed.

- ☐ The calculated fees will be paid within the time allotted for completion of the filing requirements.

- ☐ The calculated fees are to be charged to Deposit Account No. 08-0750. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to said Deposit Account. A duplicate copy of this document is enclosed.

3. **Priority Information**

- ☐ **Foreign Priority:** Priority based on _____ Application No. _____, filed _____, is claimed.

- ☐ A copy of the above referenced priority document ☐ is enclosed / ☐ will be filed in due course, pursuant to 35 U.S.C. §119(a)-(d).

- ☐ **Provisional Application Priority:** Priority based on United States Provisional Application No. _____, filed _____, is claimed under 35 U.S.C. §119(e).

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4. Other Submissions

- ☐ A Preliminary Amendment is enclosed.
- ☐ An Information Disclosure Statement, _____ sheets of PTO Form 1449, and _____ patent(s)/publications/documents are enclosed.
- ☒ A power of attorney
- ☒ is submitted ☒ with the new Oath/Declaration.
- ☐ is of record in the prior application and ☐ is in the original papers / ☐ a copy is enclosed.
- ☒ An Assignment of the invention
- ☒ is enclosed with a cover sheet pursuant to 37 C.F.R. §§ 3.11, 3.28 and 3.31.
- ☐ is of record in a prior application. The assignment is to _____, and is recorded at Reel _____, Frame(s) _____.
- ☐ An Establishment of Assignee's Right To Prosecute Application Under 37 C.F.R. §3.73(b), and Power Of Attorney is enclosed.
- ☒ An Express Mailing Certificate is enclosed.
- ☒ Other: Postcard

Attention is directed to the fact that the correspondence address for this application is:

Harness, Dickey & Pierce, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303
(248) 641-1600.

Respectfully,

Date 1/16/2001
Harness, Dickey & Pierce, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303
(248) 641-1600

Michael D. Wiggins
Michael D. Wiggins, Reg. No. 34,754

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 3174-000005

COVER SHEET FOR RECORDAL OF DOCUMENT (PATENT)

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 3.31, enclosed herewith is a document for recordal in this case. The following information is provided:

(1) Name Of Party Conveying The Interest:

Bernard G. Harter
Richard E. Hartsfield, Jr.
James A. Wafer

(2) Name And Address Of Party Receiving The Interest:

Emerson Electric Co.
8000 West Florissant
St. Louis, Missouri 63136

(3) Description Of The Transaction To Be Recorded:

☒ Assignment ☐ License
☐ Change of Name ☐ Other _____

(4) Application(s) and/or Patent(s) Against Which Enclosure Is To Be Recorded:

☐ Serial Number _____, filed _____
☒ Application being filed concurrently herewith.
☐ Patent Number _____, issued _____

(5) Name And Address Of The Party To Whom Correspondence Concerning The Request To Record Should Be Mailed:

Michael P. Brennan, Esq.
Harness, Dickey & Pierce, P.L.C.
P.O. Box 828
Bloomfield Hills MI 48303



(6) **Number Of Applications and/or Patents Identified In The Cover Sheet And Total Recordal Fee:**

Number of Applications/Patents: One

Total Recordal Fee Enclosed: \$40.00

(7) **Date(s) The Document Was Executed:** January 5, 2001, January 9, 2001 and January 3, 2001

To the best of my knowledge and belief, the foregoing information is true and correct, and if the attached is not an original document, the undersigned verifies that it is a true copy of the original.

If, for some reason, Applicant(s) has/have not paid a sufficient fee, please charge our Deposit Account No. 08-0750 for any further fees which may be due. A duplicate copy of this document is enclosed.

Respectfully submitted,

By:



Michael P. Brennan, Reg. No. 30,612

Michael D. Wiggins, Reg. No. 34,754

Attorney for Applicants

Date:

1/16/2001

HARNES, DICKEY & PIERCE, P.L.C.
ATTORNEYS AND COUNSELORS
P.O. Box 828
BLOOMFIELD HILLS, MICHIGAN 48303
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TELEPHONE
(248) 641-1600

TELEFACSIMILE
(248) 641-0270

Date: January 16, 2001

jc987 U.S. PTO
09/761125
01/16/01

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

EXPRESS MAILING CERTIFICATE

Applicant: Bernard G. Harter et al.

Serial No. (if any): To Be Assigned

For:

3174-000005

Docket:

Attorney: Michael D. Wiggins

"Express Mail" Mailing Label Number

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January 16, 2001

Date of Deposit

I hereby certify and verify that the accompanying Check in the amount of \$920.00 (\$710 filing fee; \$90 for 5 extra claims; \$80 1 extra independent claim; and \$40 for Assignment Recordal Fee); Transmittal Letter Under 37 C.F.R. § 1.53(b) (in triplicate); 21-Page Patent Application; Signed Declaration and Power of Attorney; Three (3) Sheets of Drawings; Assignment by Joint Inventors with Attached Cover Sheet (in duplicate); and return postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Stephenie Purvis
Signature of person mailing document(s)

HARNESS, DICKEY & PIERCE, P.L.C.

ATTORNEYS AND COUNSELORS

P.O. Box 828

BLOOMFIELD HILLS, MICHIGAN 48303

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(248) 641-1600

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Date: January 16, 2001

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

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EXPRESS MAILING CERTIFICATE

Applicant: Bernard G. Harter et al.

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Stephanie R. Rios
Signature of person mailing document(s)

09764125-01604

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

01/19/2001 HLE333 00000053 09761125

01 FC:101	710.00	DP
02 FC:102	80.00	DP
03 FC:103	90.00	DP

PTO-1556
(5/87)

*U.S. GPO: 2000-468-987/39595